

CITY AND COUNTY OF SWANSEA

NOTICE OF MEETING

You are invited to attend a Meeting of the

EXTERNAL FUNDING PANEL

At: Committee Room 5, Guildhall, Swansea

On: Wednesday, 5 October 2016

Time: 3.00 pm

Chair: Councillor Rob Stewart

Membership:

Councillors: M C Child, W Evans, R Francis-Davies, J E C Harris, D H Hopkins, A S Lewis, C E Lloyd, J A Raynor and C Richards

AGENDA

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| 1 | Apologies for Absence. | |
| 2 | Disclosures of Personal and Prejudicial Interests.
www.swansea.gov.uk/disclosuresofinterests | |
| 3 | Minutes.
To approve & sign the Minutes of the previous meeting(s) as a correct record. | 1 - 3 |
| 4 | Enable Capital Grant. | 4 - 11 |
| 5 | Welsh Government Warm Homes / Zones Arbed 2016-17
Sandfields Renewal Area External Funding Bid. | 12 - 17 |
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Next Meeting: Wednesday, 9 November 2016 at 2.30 pm



Huw Evans
Head of Democratic Services
Thursday, 29 September 2016

Contact: Democratic Services - (01792) 636923

CITY AND COUNTY OF SWANSEA

MINUTES OF THE EXTERNAL FUNDING PANEL

HELD AT COMMITTEE ROOM 5, GUILDHALL, SWANSEA ON
WEDNESDAY, 3 AUGUST 2016 AT 3.00 PM

PRESENT:

Councillor(s)

M C Child

Councillor(s)

W Evans

Councillor(s)

D H Hopkins

Officer(s)

S Harvey

J James

S Martin

W Parkin

M Sweeney

E Williams

G Windsor

S Woon

Western Bay Programme Director

Capital Accountant

Voluntary Sector Relationship Coordinator

Senior Lawyer

Planned Maintenance Drainage & Coastal Management

European & External Funding Officer

Capital Finance

Democratic Services Officer

Apologies for Absence

Councillor(s): R Francis-Davies, J E C Harris, A S Lewis, C E Lloyd, J A Raynor, C Richards and R C Stewart.

7 **ELECTION OF CHAIR PRO TEM.**

RESOLVED that Councillor W Evans be elected as Chair Pro Tem.

COUNCILLOR W EVANS (CHAIR PRO TEM) PRESIDED.

8 **DISCLOSURES OF PERSONAL AND PREJUDICIAL INTERESTS.**

In accordance with the Code of Conduct adopted by the City and County of Swansea, no interests were declared.

9 **MINUTES.**

RESOLVED that the Minutes of the External Funding Panel meeting held on 7 July 2016 be approved as a correct record.

10 **WELSH GOVERNMENT GRANT TO SUPPORT COASTAL RISK MANAGEMENT PROGRAMME - MUMBLES SEA DEFENCE WALL/REVTMENT.**

The Planned Maintenance Drainage & Coastal Management Officer presented a report which provided an update on Welsh Government Grant to support a Project Appraisal Study for Mumbles Sea Defence Wall.

He indicated that the Welsh Government programme is intended to help Local Authorities to implement projects aligned with the policy options recommended within the shoreline management plan.

The Authority has a duty to maintain current defences and the sea wall at Mumbles has been identified as an area which complies with the eligibility criteria and would 100% grant funded.

Members asked questions of the Officer who responded accordingly.

RESOLVED that the Panel endorse the grant to support the production of the project appraisal study for Mumbles Sea Defence Wall/Revetment.

11 **OVERVIEW OF CURRENT AND FORTHCOMING EUROPEAN AND EXTERNAL FUNDING APPLICATIONS.**

The European & External Funding Officer presented a report that provided an update on current and planned European and other external funding applications.

He outlined the potential impact of the Brexit vote on funding arrangements, and referred to the following project:

- Hafod & Morfa Copperworks Site – Heritage Lottery Fund – Enterprise
- ERDF Priority 4 Objective 4 – Proposal for Kingsway Employment Hub
- ERDF Priority 4 Objective 4 – Welsh Government 'Building for the Future' (use it or lose it)Scheme Submissions
- URBACT III
- European Social Fund – Cynnydd Progress Update
- Cam Nesa Project
- Workways + Progress Update
- Rural Development Plan 2014-2020
- European Fisheries Fund
- Heritage Trails and Ireland Wales (INTERREG Ireland-Wales)
- Exportable (INTERREG Ireland-Wales Programme)

Members asked questions of the Officer who responded accordingly.

RESLOVED that:

- 1) the updates on projects in sections 2 to 6 of the report be noted.
- 2) the bid to Welsh Government to seek funding to establish a Swansea Bay Fisheries Local Action Group be supported.
- 3) the bid for support from the Ireland-Wales programme for the Heritage Trails project via Swansea University be supported.
- 4) the bid for support from the Ireland-Wales programme for the Exportable project be supported.

12 **BISHOPSTON 3G SURFACE PROJECT - BRIEFING.**

The Voluntary Sector Relationship Coordinator presented a “for information” report relating to the opportunity for the school to significantly develop the existing hard court facilities at the school to increase and enhance opportunities for both school and community-based provision. The project which is anticipated to commence from mid August 2016 and includes the resurfacing and re-fencing of the existing tennis court area with a 3G artificial surface which would service football and rugby as well as various other health related physical activity opportunities.

The main financial assistance (70k) will be provided by the Arwyn Harris Community Sports Fund, along with contributions from the school and Local Authority (36k). The remaining amount (15k) would be applied for as part of a Sports Wales Development Grant Bid.

RESOLVED that the report be noted.

13 **INTERMEDIATE CARE FUNDING 16/17.**

The Western Bay Regional Programme Director presented a report which sought to approve Intermediate Care Funding 2016/17 capital funding for the City and County of Swansea.

She stated that the recommendations had been omitted in error from the report and advised that Members were being asked to agree the submission of capital schemes and include additional schemes in Swansea’s capital programme subject to Welsh Government approval.

She circulated updated versions of Appendix 1 and 2 and detailed the background, proposed works, objective of the scheme and financial implications.

Members asked questions of the Officer who responded accordingly.

RESOLVED that:

- 1) The submission of capital schemes be agreed; and
- 2) Additional schemes in Swansea’s capital programme be agreed subject to Welsh Government approval.

The meeting ended at 3.34 pm

CHAIR

Agenda Item 4

Report of the Cabinet Member for Adults and Vulnerable People and
Director of People, the Head of Finance and the Head of Legal
Services

Where scheme exceeds £150,000 but not £1,000,000

Report of the Cabinet Member for Adults and Vulnerable People

External Funding Panel – 5 October 2016

ENABLE CAPITAL GRANT

Purpose:	To approve the Enable Capital grant from the Welsh Government and include the expenditure in the capital programme for 2016/17.
Policy Framework:	Social Services and Wellbeing Act (Wales) 2014.
Reason for Decision:	To comply with Financial Procedure Rule No.7 (Capital Programming and Appraisals) - to commit and authorise schemes as per the Capital Programme or to include new schemes in the Capital Programme.
Consultation:	Finance, Legal and Access to Services
Recommendation(s):	To comply with Financial Procedure Rule No 7 (Capital Programming and Appraisals) to commit and authorise schemes onto the capital programme
Report Author:	Rachel Evans / Alex Williams
Finance Officer:	Jayne James / Jeff Dong
Legal Officer:	Debbie Smith
Access to Services Officer:	Phil Couch

1.0 Introduction

Welsh Government Policy is driving an agenda which aims to support as many individuals as possible to live fulfilled lives within their communities in the place they regard as home. However, In order to achieve this objective homes have to be in a good state of repair, be energy efficient and be devoid of hazards.

The recent Welsh Government Communities, Equality and Local Government Committee's report (2016) recommends utilising housing related grants to assist in the quick and effective targeting of mid-level adaptations for older people.

Swansea Housing Department has a long standing and extensive grant programme (DFG Disabled Facility Grant) which supports major adaptations to properties. It also administers an effective Mini Adaptations Grants (MAG) for works up to £2,000.

The ENABLE grant of £280,349 will give additional flexibility and resource, to expand the structures already proven with DFG s and MAGs, importantly to include all housing tenures.

This approach was tested by Care and Repair in 2014/2015 using £437,000 of Intermediate Care Funds and was labelled the "Healthy Home Grant" (HHG). It proved to be a very effective means of accessing resource that previously hadn't been available to a group of individuals to support them to remain independent at home.

In one year over 900 jobs were completed, 43% of the clients helped were aged 75-84 and over 550 of the jobs completed were to prevent a fall in or outside the home.

It also supported earlier hospital discharge where small housing related works were delaying that process.

2.0 Proposed Works

The total grant allowance of £280,349 will be allocated to Care and Repair with a mandate to continue with the Healthy Home Grant scheme which was positively evaluated after the ICF allocation of 2014/15.

The practical work at an individual level will include:

- Mid-level adaptations, with priority for works that assist hospital discharge, prevent falls in the home or provide targeted support for older people.
- Mid-level intervention and adaptations to the homes of older people aged over 60 who are living in their own home or privately rented accommodation.

Examples of the work that will be completed under these headings are broad ranging but include; walk in showers, stair lifts, renewing boilers and deep cleaning properties. Undertaking gas safety works and addressing any other issues identified in the Housing Health & Safety Rating System will also be a priority.

Added Value

Clients referred to Care and Repair will also have access to the Casework Team. Caseworkers are qualified Trusted Assessors and are also Energy Awareness trained. As well as completing a full 'Healthy Home Check', they undertake a holistic assessment which covers a range of areas such as reviewing benefit entitlements, accessing various grants and sign posting on to other organisations where appropriate.

3.0 Objective of Scheme

The principal overarching aim of the grant allocation will be to continue to support Health Boards and Local Authorities to:

- reduce unnecessary hospital delays
- reduce where possible the incidence of falls in older people
- undertake works in the homes of older people which will support them to remain safely in their environment

450 individuals will receive a Healthy Home Check service before the end of March 2017 and any identified housing related works will be undertaken.

4.0 Equality and Engagement Implications

The recent Welsh Government Communities, Equality and Local Government Committee's report recommends financing for Independent Living Grants to assist in the quick and effective targeting of mid-level adaptations for older people.

Numerous national and local engagement events with older people have resulted in a clear message and overwhelming desire to live in their own home (where ever that may be) for as long as possible.

5.0 Financial Implications

5.1 The total cost of the scheme amounts to £280,349 and will be fully funded by WG capital grant, with 50% of the grant to be claimed by 30 September 2016 and the remaining 50% to be claimed by 31 March 2017. No financial contribution is required from CCS. There are no revenue implications of running the scheme. Details are set out in Appendix A.

6.0 Legal Implications

6.1 The Authority must abide by the general and special grant conditions set out by WG.

7.0 IT/Systems Implications

7.1 None

8.0 Property Implications

8.1 None

Background Papers: None.

Appendix: Appendix A – Financial Implications



The City & County of Swansea

EFP1 PRE-SUBMISSION - Notification of Funding Bid to External Funding Panel

Ref:

THE EFP1 APPLIES TO HARD COPY AND ELECTRONIC SUBMISSIONS

1. Scheme background and details - this is to inform the External Funding Panel of the intention to apply for a new grant proposal –

a. Awarding Body	Welsh Government
b. Name and purpose of Scheme/Programme (attach proposal brief in cabinet style report)	ENABLE CAPITAL GRANT
c. Grant value in Total £	£280,349
d. Grant period / timescale for delivery	Financial year commencing April 2016 for 12 months
e. CCS acting as Lead Body or Joint Sponsor? Name other Partners.	CCS and Care and Repair
f. Detail links to existing schemes at Local, Regional or National level	DFG and ICF
g. Detail direct links to Council Policy, including, where appropriate, target areas	Social Services and Wellbeing Act (Wales) 2014.
h. List key target and proposed performance measures	<ul style="list-style-type: none"> • reduce unnecessary hospital delays • reduce where possible the incidence of falls in older people • undertake works in the homes of older people which will support them to remain safely in their environment
i. For EUROPEAN schemes confirm that the scheme has been developed in conjunction with the European Unit – Yes /No (<i>delete</i>)	N/A
j. Does this grant require a continuation of funding by the Council after the grant period has expired? <i>This includes current or additional staff costs.</i>	No

k. Does the application require match-funding? Yes/No if it does where is this coming from?	No
l. Will the project entail the employment of additional staff and on what basis?	No
M. Have you completed an EIA (Equality Impact Assessment) Screening Form (please attach)?	No
N. Is a full EIA report required?	No

2. Please complete the following financial information:

	Current financial year £	Year 2 £	Year 3 £	Year 4 £	Year 5 £	Total £	Ongoing £
Total project cost:	280,349						
Capital	280,349						
Revenue							
Grant applied for:	280,349						
Capital							
Revenue							
Match Funding Internal	0						
Match Funding External	0						

3. Please complete the following:

a. Does the funding meet the Council's priorities?	Yes Promoting independence in frail older people
b. What are the expected outcomes and are they clear and achievable? (link to 1h)	<ul style="list-style-type: none"> • Less people will be admitted to hospital and / or care homes unnecessarily • Less falls in older people attributable to poor housing conditions • Increase in the numbers of older people who are able to remain at home as a result of general safety works being undertaken
c. Is there an exit plan? (link to 1j/l)	All works will be completed by the end of the grant period.

d. How is Value for Money being obtained? (procurement/ third party arrangements etc.)	CP20 to be completed Direction from WG to work with Care and Repair
e. What is the governance / management structure for the scheme? – What board/management team will it be reported to?	Social Service Management Team
f. What are the major risks and how will they be managed?	Slippage caused to the late allocation and subsequent drawing down of the capital. Monthly update/progress meetings with contractor

4. Authorisation

	Name / Signature	Date
Responsible Officer:		
(Group)/Accountant:		
External Funding Panel		
Endorsed /Not Endorsed		

FINANCIAL IMPLICATIONS : SUMMARY

Portfolio: People
Service : Social Services
Scheme : Enable Grant scheme

1. CAPITAL COSTS	2016/17 £'000	2017/18 £'000	2018/19 £'000	2019/20 £'000	TOTAL £'000
<u>Expenditure</u>					
Capital Adaptations to support Independent Living	280				280
EXPENDITURE	280	0	0	0	280
<u>Financing</u>					
CCS funding					
WG grant	280				280
Other					
FINANCING	280	0	0	0	280

2. REVENUE COSTS	2016/17 £'000	2017/18 £'000	2018/19 £'000	FULL YEAR £'000
<u>Service Controlled - Expenditure</u>				
Employees				0
Maintenance				0
Equipment				0
Administration				0
NET EXPENDITURE	0	0	0	0

Agenda Item 5

Report of the Director of Place

External Funding Panel – 5 October 2016

Welsh Government Warm Homes / Zones Arbed 2016-17 Sandfields Renewal Area External Funding bid

Purpose:	To provide details of the Bid for Welsh Government Warm Homes/ Zones Arbed Funding 2016-17 for Sandfields Renewal Area.
Policy Framework:	<ol style="list-style-type: none">1. Local Housing Strategy.2. Private Sector Housing Renewal and Disabled Adaptations: Policy to Provide Assistance 2012-2017.3. Statutory declaration of the Sandfields Renewal Area approved by Cabinet on the 14th January 2014.
Reason for Decision:	To comply with Financial Procedure Rules.
Consultation:	Legal, Finance, Access to Services.
Recommendation(s):	<p>It is recommended that :</p> <p>The bid for Welsh Government Warm Homes/ Zones Arbed Funding 2016-17 for Sandfields Renewal Area is approved by the External Funding Panel.</p>
Report Author:	Mark Wade
Finance Officer:	Jayne James
Legal Officer:	Sandie Richards
Access to Services Officer:	Sherill Hopkins

1.0 Introduction

The purpose of this report is to provide the External Funding Panel with the background regarding a Welsh Government Warm Homes / Zones Arbed funding bid for 2016-17 for the Sandfields Renewal Area. Due to a very short timeline for bid submission imposed on the Council by Welsh Government, the bid has already been submitted, after advice being sought and permissions obtained from Director of Place, Head of Housing and Public Protection and Cabinet Member for Next Generation Services.

Background

- 1.1 Arbed (Welsh for 'save') is the Welsh Government's (WG) strategic energy performance investment programme helping meet commitments to reduce climate change, help eradicate fuel poverty and boost economic development and regeneration in Wales.
- 1.2 In previous years Swansea has successfully bid for and received Arbed funding for home energy efficiency measures in Clydach, Pontarddulais, Hafod, Morriston and Waun Wen.
- 1.3 The latest round of Arbed funding called 'Welsh Government Warm Homes and Warm Zones' has been announced to support local authority led area-based energy efficiency schemes, maximise the number of homes improved and lever in funding from other sources such as the Energy Company Obligation (ECO) to maximise investment.

Bids

- 1.4 One bid per Local Authority was permitted for submission by the 16th September 2016. The Warm Homes bid will fund a maximum of 100 properties at £8000 per property for External Wall insulation measures, the total grant bid is £800,000. The Warm Zones bid will see a further 100 properties receive other energy efficiency measures including boiler replacement, heating systems / upgrades and loft insulation, the total grant bid is £144,000. All money must be spent by the end of March 2017.
- 1.5 Bids must focus on deprived areas, properties in close proximity to one another with low energy efficiency. There is no match funding requirement but bids must show opportunity to lever in funding from other sources, support the Welsh economy in employment/training terms and have effective project management arrangements in place.

Suitability of the Sandfields Renewal Area

- 1.6 Sandfields is a Council declared Renewal Area. It has high concentrations of poor house condition, low incomes and fuel poverty levels. Renewal Area status means detailed house condition information about properties in Sandfields is already available. This information will facilitate swift mobilisation and delivery of works in the restricted timeframe.
- 1.7 A number of funding streams are in place but the Renewal Area programme in Sandfields requires additional funding to help meet its stated objectives. Resident awareness of the scheme is high and this should promote good participation rates should the bid be successful.
- 1.8 Works for the 2016/17 financial year have already been programmed by the in-house Renewal Area team. The late availability of this additional

funding will likely require the appointment subject to approvals of a construction project manager to provide additional capacity to deliver additional works in the timeframe the grant is available.

- 1.9 The detail above, in conjunction with the information in section 2, means the Sandfields Renewal Area is appropriate to be put forward as a funding bid.

Other areas considered

- 1.10 Other geographical areas were considered as part of the bid selection process. Areas where the Council is undertaking, or planning, Welsh Housing Quality Standard works were considered but discounted due to the short timeframe for delivery and potential delays that would be encountered engaging private households in such areas. The scheme must be cross tenure. Areas where Council led housing improvement works were not already underway were not considered due to lack of ability to demonstrate leverage from other funding sources.
- 1.11 On this basis Sandfields is the strongest bid based on the stated Welsh Government bid assessment criteria.
- 1.12 A further Arbed bidding round is expected next year. Other geographical areas will be considered for future funding bids.

Recommendation:

The bid for Welsh Government Warm Homes Arbed Funding 2016-17 for Sandfields Renewal Area is approved by the External Funding Panel.

2.0 Equality and Engagement Implications

- 2.1 Access to services have confirmed an EIA is not required.

3.0 Financial Implications

- 3.1 The total cost of the Warm Homes scheme will be £1,460,000, the funding bid is for £800,000 Welsh Government Warm Homes funding, with a further £60,000 ECO utility company funding to be levered, and a further £600,000 Sandfields Renewal Area funding contribution towards the cost of the works.

The total cost of the Warm Zones scheme will be £144,000 and requires no funding contribution from the Council.

- 3.2 The £600,000 Sandfields Renewal funding towards the cost of the Warm Homes works is not a match funding requirement, this is additional funding to ensure consistency of works to those already completed in the Renewal Area, and is already budgeted for via Renewal Area receipts from previous energy efficiency programmes.

4.0 Legal Implications

- 4.1 Legal advice has not been sought regarding this funding bid. Legal advice has however previously been sought regarding the Sandfields Renewal Area declaration and Policy to provide works in the area including energy efficiency measures. If the bid is successful, appropriate legal advice will need to be sought as part of the process to commit and spend any funding awarded.
- 4.2 The schemes detailed are in line with local authority powers to provide assistance, contained in the Regulatory Reform (Housing Assistance) (England and Wales) Order 2002 and the Councils published Policy.
- 4.3 Any loans provided to householders using scheme funds will need to be secured as a local land charge to protect the Council's interest
- 4.4 The Council will need to ensure that it complies with any terms and conditions attached to this Welsh Government grant funding.

5.0 Background Papers: None

Appendices: EIA Screening form.

Equality Impact Assessment Screening Form

Please ensure that you refer to the Screening Form Guidance while completing this form. If you would like further guidance please contact your directorate support officer or the Access to Services team (see guidance for details).

Section 1

Which service area and directorate are you from?

Service Area: Housing and Public Protection (Housing Renewal and Adaptations)

Directorate: Place

Q1(a) WHAT ARE YOU SCREENING FOR RELEVANCE?

Service/ Function	Policy/ Procedure	Project	Strategy	Plan	Proposal
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>

(b) Please name and describe below

Funding bid – Welsh Government Warm Homes Arbed Sandfields
Renewal Area

Q2(a) WHAT DOES Q1a RELATE TO?

Direct front line service delivery	Indirect front line service delivery	Indirect back room service delivery
<input checked="" type="checkbox"/> (H)	<input type="checkbox"/> (M)	<input type="checkbox"/> (L)

(b) DO YOUR CUSTOMERS/CLIENTS ACCESS THIS...?

Because they need to	Because they want to	Because it is automatically provided to everyone in Swansea	On an internal basis i.e. Staff
<input type="checkbox"/> (H)	<input checked="" type="checkbox"/> (M)	<input type="checkbox"/> (M)	<input type="checkbox"/> (L)

Q3 WHAT IS THE POTENTIAL IMPACT ON THE FOLLOWING...

	High Impact (H)	Medium Impact (M)	Low Impact (L)	Don't know (H)
Children/young people (0-18) →	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Any other age group (18+) →	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Disability →	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Gender reassignment →	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Marriage & civil partnership →	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Pregnancy and maternity →	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Race →	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Religion or (non-)belief →	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Sex →	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Sexual Orientation →	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Welsh Language →	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Poverty/social exclusion →	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Carers (inc. young carers) →	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Community cohesion →	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>

Q4 HAVE YOU / WILL YOU UNDERTAKE ANY PUBLIC CONSULTATION AND ENGAGEMENT RELATING TO THE INITIATIVE?

YES NO (If NO, you need to consider whether you should be undertaking consultation and engagement – please see the guidance)

If yes, please provide details below Page 16

There is an established engagement process for works offered by the Renewal Area. If the funding bid is successful, these will be utilised.

Equality Impact Assessment Screening Form

Q5(a) HOW VISIBLE IS THIS INITIATIVE TO THE GENERAL PUBLIC?

High visibility <input type="checkbox"/> (H)	Medium visibility <input type="checkbox"/> (M)	Low visibility <input checked="" type="checkbox"/> (L)
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(b) WHAT IS THE POTENTIAL RISK TO THE COUNCIL'S REPUTATION?
(Consider the following impacts – legal, financial, political, media, public perception etc...)

High risk <input type="checkbox"/> (H)	Medium risk <input type="checkbox"/> (M)	Low risk <input checked="" type="checkbox"/> (L)
---	---	---

Q6 Will this initiative have an impact (however minor) on any other Council service?

Yes No **If yes, please provide details below**

Q7 HOW DID YOU SCORE?
Please tick the relevant box

MOSTLY H and/or M → HIGH PRIORITY → EIA to be completed
 Please go to Section 2

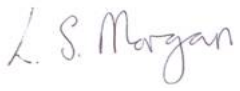
MOSTLY L → LOW PRIORITY / NOT RELEVANT → Do not complete EIA
 Please go to Q8 followed by Section 2

Q8 If you determine that this initiative is not relevant for a full EIA report, you must provide adequate explanation below. In relation to the Council's commitment to the UNCRC, your explanation must demonstrate that the initiative is designed / planned in the best interests of children (0-18 years). For Welsh language, we must maximise positive and minimise adverse effects on the language and its use. Your explanation must also show this where appropriate.

The funding will be used to deliver home energy efficiency works in the area. These will be of positive benefit to households with children, the elderly and those on low incomes. Literature in relation to the scheme will be provided bilingually.

Section 2

NB: Please email this completed form to the Access to Services Team for agreement before obtaining approval from your Head of Service. Head of Service approval is only required via email – no electronic signatures or paper copies are needed.

Screening completed by:	
Name: Darren Williams	
Job title: Housing Renewal Coordinator	
Date: 27.9.16	
Approval by Head of Service:	
	
Name: Lee Morgan	
Position: Head of Housing and Public Protection	
Date: 27.9.16	Page 17

Agenda Item 6

Report of the Director of People

External Funding Panel - 5 October 2016

COMMUNITY ACTION TRANSFORMATION FUND

Purpose:	For the Panel to decide upon applications to the fifth round of funding of the Community Action Transformation Fund it wishes to offer financial support.
Policy Framework:	Medium Term Financial Plan; <i>Sustainable Swansea – fit for the future</i>
Reason for Decision:	To decide on the fifth round of applications to the Fund.
Consultation:	Finance, Legal, Access to Services.
Recommendation(s):	It is recommended that: 1) The Panel decide which of the applications submitted to the fund it wishes to support. 2) The Panel decide on additional funding to allow for the scheduled sixth funding round and any allocation from this round over the £48,700 remaining of the original £300,000 allocated to the Community Action Transformation Fund.
Report Author:	Spencer Martin
Finance Officer:	Pini Patel
Legal Officer:	Wendy Parkin
Access to Services Officer:	Sherill Hopkins

1. Introduction

1.1 Background, Sustainable Swansea Fit For the Future

The scale of the financial, demographic and sustainability challenge requires the Council to adopt a radically different approach to:

- *The core purpose of the Council*
- *The transformation of services and the model of delivery*
- *Greater collaboration with other councils and local organisations, community groups and residents*

- *And, above all, sustainable solutions with prevention at the heart of this*

This ambition is set out in *Sustainable Swansea – fit for the future*.

1.2 A number of Budget Principles underpin Sustainable Swansea, two of which are particularly relevant to the Community Action Fund:

- **Different Models of Delivery:** options will assess service provision (cost and outcomes) against other delivery models including: collaboration, outsourcing, partnering, community action
- **Personal Responsibility:** action is required to change behaviour and to increase the number of people and communities helping themselves as part of our approach to sustainability

1.3 Sustainable Swansea has four workstreams to achieve the desired change, one of which is **New Models of Delivery**. Part of the New Models of Delivery workstream is the **Community Action** strand. The aims of this strand are to:

- Build community capacity for self help
- Use community assets to support local action
- Increase and support voluntary sector provision
- Increase co-production

1.4 The City and County of Swansea Agreed to set aside the sum of £300,000 to support the delivery of the aims of the Community Action strand of the Sustainable Swansea Project. In particular, funding will be aimed at proposals from community groups to:

- Develop proposals to run Council services locally and/or
- Facilitate the transfer of community assets

1.5 There is £48,700 of the original £300k fund remaining, If the Allocation for this round exceeds this amount further funds will have to be found to cover the deficit.

2. Principles Underlying the Fund

2.1 The Five underlying Principles of the fund are:

- 1) The Council will either reduce or withdraw from service provision across many current activities due to budget and hence service constraints.
- 2) The Council recognises the value of those services to the Community (either geographically or in the wider sense in terms of a user community), and wishes to encourage the continuation and development of those services through a different model delivered specifically by and through the Community.

- 3) The Council will not be able to support the cost of services going forward however delivered.(Unless they are directly commissioned or procured from the Community based provider)
- 4) The Council is willing to consider applications from interested parties who express an interest in continuing elements of service delivery which may or may not involve the use of current Council assets.
- 5) The Council will not retain any continuing liability for services or assets once transferred

3. Applications and Criteria

3.1 All applications should meet the principals and purposes listed above and can be used for (not exhaustive):

- Support to develop a business case expanding levels of community action, and/or providing a community based model of service delivery
- Funding for a local community engagement exercise to ascertain and develop levels of community involvement and engagement for community led services
- Support the development of co-produced services with the community and voluntary sector
- Work to set up a community trust to provide a community based service
- Training local people to run services or manage a facility, resulting in the Council withdrawing resources over time, or transferring assets.
- Evidenced costs in developing a business plan for future service delivery including market research, accountancy and legal costs.
- Potential initial set up costs, including engagement, training, capacity building.
- Support for professional advice
- It is anticipated a majority of supported projects will be based on revenue funding, however capital funding will be considered if the expenditure can demonstrate a long term saving or that an innovative project can be developed with some investment in capital expenditure.

3.2 The Fund will complement existing initiatives, but will also be used for a variety of projects , rather than simply being additional money to support existing services. In other words, innovative bids which offer new solutions and build on community action.

3.3 One deferred and four new applications have been received by the closing date for the fifth round of the fund on 26th August 2016.

1. Whitehead Ross Consulting Ltd – Youth Club provision at two sites, Clydach and Pontarddulais (deferred from Round 3 to allow for the completion of a review into CCS Youth Services)
2. Llanrhidian Community Council – Site at Graig Y Coed, 11 acres comprising rugby pitch, football pitch, pavilion and associated surrounding land
3. Parc Y Werin Bowling Association – The two bowling greens at Parc Y Werin, Gorseinon

4. Morriston Tabernacle Congregation Building Trust – Clock Maintenance, Tabernacle Chapel Morriston.
 5. Sacred Heart Mother and Toddler Group – Rent and equipment Costs
- 3.4 Round 5 Applications are summarised in **Appendix A** – Each individual application is summarised against eligibility and project criteria in **Appendices B1-B5**, full application packs will be available for review prior to and at the meeting.

4. Equality & Engagement Implications

- 4.1 Equality Impact Assessments will be undertaken as appropriate on any decisions made at the panel.

5. Financial Implications

- 5.1 The Community Action Transformation fund has a pot of £300,000 to distribute over the initial six scheduled rounds – Allocation to date totals £251,301, leaving a maximum £48,699 to allocate in round five. If funds are allocated in this round over the amount remaining further funds will need to be provided for to allow for this overspend and allow for the sixth round to go ahead. The total amount of approved applications will be noted in preparation for any future rounds.

6. Legal Implications

- 6.1 The External Funding Panel is a Decision making panel as ratified by Cabinet at its meeting of 18th November 2014.
- 6.2 Any grant awarded will require a contract to be entered into between the applicants and the Council to which both parties will be legally bound.

Background Papers: None.

Appendices:

A - List of Applications – Round 5 – Community Action Transformation Fund.
B1-B5 - Individual applications summarised against eligibility and project criteria.

APPENDIX A

Community Action Transformation Fund

Round 5 October 2016

No	Group	Proposal Synopsis	Amount
01	Whitehead-Ross Educating and Consulting Limited	<p>This Application was deferred from the September 2016 meeting to allow for a review of youth services.</p> <p>WREC is proposing to take on the management and delivery of two satellite youth clubs in order to help sustain the future of youth clubs in both Clydach and Pontarddulais. The proposal would see two evening sessions over 50 weeks, with a weekly session in both Clydach and Pontarddulais.</p> <p>Estimated (by Applicant) saving to CCS: £1,002.68 saving in Year 1 (despite extended weeks of opening and addition of outdoor pursuit camps) £26,857 saving in Year 2</p>	£25,854
02	Llanrhidian Higher Community Council	<p>Site at Graig Y Coed, 11 acres comprising Rugby Pitch, Football Pitch, Pavilion and Associated land</p> <p>Estimated (by CCS) saving to CCS: £12,000 per annum</p>	£35,000
03	Parc Y Werin Bowling Association	<p>The Association has been formed by 5 resident clubs to run and maintain the two bowling greens in Parc Y Werin, Gorseinon.</p> <p>Estimated (by Applicant) saving to CCS: £16,000</p>	£21,490
04	Morrison Tabernacle Congregation Building Trust – Clock	<p>The City and County of Swansea and its predecessors in time have been maintaining a number of clocks on Council and privately owned properties since the turn of the century. The public clocks maintenance contract has been awarded and run by Astra</p>	£6,276

		<p>Clock Repairs for the past 30 years. However, as part of review and saving targets driven by Sustainable Swansea, the contract has now been reviewed in order to provide financial saving opportunities for the Council. The Council will now look to pass back the responsibility to the individual owners in order for them to maintain and keep the clocks wound</p> <p>The Grant will allow Tabernacle Chapel to service the clock, Clear Weeds affecting the mechanism and provide training in its maintenance.</p> <p>Estimated (by Applicant) saving to CCS: the cost for an annual winding contract is in the region of £2,000 - £3,000 per year</p>	
05	Sacred Heart Mother and Toddler Group	<p>Costs associated with the group, including rent and equipment.</p> <p>Estimated (by Applicant) saving to CCS: Not Identified</p>	£2,000
	TOTAL REQUESTED		£90,620

Appendix B1

Community Action Transformation Fund: Assessment Ticksheet

Organisation: Whitehead Ross Educating and Consulting Ltd

Grant Total: £25,854

Ref No: CATF 5 – 01

Contact Name: Mr Ian Whitehead Ross

Potential Saving to City and County of Swansea: Estimated (by Applicant)

£1,002.68 saving in Year 1 (despite extended weeks of opening and addition of outdoor pursuit camps) £26,857 saving in Year 2

Summary:

This Application was deferred from the September 2015 meeting, initially to allow for some further information (which was provided) and subsequently for a review of Youth Services within the City and County of Swansea.

In 2015 young people from across the city were given a chance to have their say on local authority budget proposals which would have seen larger 'Hub' youth clubs remaining while smaller 'satellite' clubs would be closed in Clydach, Gendros, Pontarddulais, St Thomas, Rhossilli and Morryston

Closure of the youth clubs was originally proposed because the council's youth service was looking to move towards an approach which targets support to those young people who need support the most. Fortunately the local authority decided to keep these 'satellite' youth clubs open for the time being as the local authority looked at alternative ideas to save £81m over the next three years.

WREC is proposing to take on the management and delivery of two satellite youth clubs in order to help sustain the future of youth clubs in both Clydach and Pontarddulais. Our proposal would see two evening sessions over 50 weeks, with a weekly session in both Clydach and Pontarddulais. Existing local authority-delivered open access provision is currently only run over 39 weeks, leaving the school holidays without any diversionary activities for young people. In contrast this proposal would see clubs open for a full 50 weeks each year.

Delivered by approachable Youth Workers, the group offer open access provision one evening a week from 6:30pm - 8:30pm incorporating opportunities for young people to participate in structured activities and

access information , advice and guidance , along with a variety of accreditation to raise skills.

Following the application submission Youth Club provision became the subject of a mini commissioning review, during which time the clubs have been mothballed. The review has recently been completed.

Eligibility

• Established Charitable or voluntary organisations or those with charitable objectives, is properly constituted and has requisite Equality, Green, Vulnerable Adults and Childrens Policies	✓
• New organisations which require 'seed funding' to start a service for which there is demonstrable need and is working towards Constitution and requisite policies	✓
• A Community Council	N/A
• Proposal will provide an existing service in an innovative way or a new innovative service for which there is a demonstrable need	✓
• Has the applicant been working with and supported by the City and County of Swansea Department with the responsibility for the asset under question	✓
• Does the proposal save the City & County of Swansea money? Can this saving be easily identified in council budgets and easily tracked by the External Funding Panel	✓
ELIGIBLE	YES

Assessment

Finances

Expenditure	£
2 x Youth Workers (774 hours) - £12 per hour 1 x Project Coordinator (288 hours) - £22.91 per hour Includes On-costs (15% National Insurance + 2% Pension)	£18,588.96
Staff training (Archery GB Instructor Award and Leading Athletics Workshop - Welsh Athletics)	£850.00
Sports Equipment	£1,551.00
Resources for sessions	£600.00
Overnight Outdoor Pursuit Residentials (activity centre, meals and minibus hire: 30 young people)	£4,950.00
Qualifications and accreditation costs (50 qualifications)	£1,200.00
Venue Hire (£15 per hour x 472 hours)	£4,080.00
Governing Body affiliation fees (GB Archery)	£250.00
DBS Check	£54.00
Staff Travel	£1,200.00

Staff Mobile (£12 per month)	£216.00
Central Costs (Insurance, accounting and office contribution)	£2,500.00
Total Expenditure (a)	£35,539.96
Income (include status - Secured, Awaiting Decision or still to be raised)	
Sport Wales Development Grant (awaiting decision)	£10,185.64
Total Income (b)	£10,185.64
Total Amount to be met by CATF grant (a-b)	*£25,854.32

RECOMMENDATION	Refuse - for reasons outlined in the comments of supporting department
All applications will be assessed against the same criteria and applicants will be required where appropriate, to demonstrate:	<u>Comments</u>
<ul style="list-style-type: none"> That the funding will support the provision of a local service to the residents of Swansea 	Yes – Specifically the youth of Clydach and Pontarddulais areas of the City
<ul style="list-style-type: none"> The need and community support for the project proposal is demonstrated 	Both clubs have been dormant for some time, however the group estimate that 100 sessions will be delivered, with 800 total contacts. 30 young people will attend overnight retreats and 30 young people will achieve City and Guilds Level 1 introductory award in Employability skills.
<ul style="list-style-type: none"> Comments of Supporting Department 	<p>Gavin Evans – Youth Services Manager</p> <p>Since the original application of this bid several significant changes have taken place. Youth Club provision has seen a budget reduction of 80k and a mini commissioning review of the Youth Club provision. The result of this review has meant that the clubs in Pontarddulais and Clydach will not re-open.</p>

	<p>As this means that the council is no longer directing funding into those two clubs, it means that the financial saving upon which the bid is based no longer exists. The mini commissioning review has therefore unfortunately concluded that from a purely financial perspective, the bid can no longer be supported.</p>
<ul style="list-style-type: none"> • Value for money 	<p>The bid offers to run more services for a lesser amount than previous spend on the clubs – however as the clubs are no longer running there is no longer a saving to the authority.</p>
<ul style="list-style-type: none"> • Sustainability of Proposal in the longer term. 	<p>The applicant is confident the business model for the clubs is sustainable in the longer term</p>
<ul style="list-style-type: none"> • Acceptable Monitoring and Evaluating proposals 	<p>The proposed monitoring and evaluation processes are acceptable.</p>

Appendix B2

Community Action Transformation Fund: Assessment Ticksheet

Organisation: Llanrhidian Higher Community Council

Grant Total: £35,000

Ref No: CATF 5 – 02

Contact Name: Mr Jeremy Parkhouse – Clerk to the Council

Potential Saving to City and County of Swansea: £12,000 per annum.

Summary:

Llanrhidian Higher Community Council is seeking to receive the whole site at Graig Y Coed, Penclawdd as an asset transfer from the City and County of Swansea.

The site comprises of a rugby pitch, a football pitch, pavilion and a large amount of land surrounding the site. The Community Council has requested that the whole 11 acre site be transferred subject to discussions regarding the final transfer.

At present, the site is maintained by the City and County of Swansea who prepare and mark the pitches, maintain the equipment within the pavilion and cut the grass areas surrounding the site.

Penclawdd RFC and Penclawdd AFC have self-managed the pitches for a number of years. This has saved funds for the City and County of Swansea as there is no requirement to have a staff member on site for games.

The Community Council is seeking a long term lease to manage the site and keep the facilities open and available for the local community.

Eligibility

• Established Charitable or voluntary organisations or those with charitable objectives, is properly constituted and has requisite Equality, Green, Vulnerable Adults and Childrens Policies	N/A
• New organisations which require 'seed funding' to start a service for which there is demonstrable need and is working towards Constitution and requisite policies	✓
• A Community Council	✓
• Proposal will provide an existing service in an innovative	

way or a new innovative service for which there is a demonstrable need	✓
• Has the applicant been working with and supported by the City and County of Swansea Department with the responsibility for the asset under question .	✓
• Does the proposal save the City & County of Swansea money? Can this saving be easily identified in council budgets and easily tracked by the External Funding Panel	✓
ELIGIBLE	Yes

Assessment

Finances

Expenditure	£
Ground Maintenance – Year 1	10,000
Ground Maintenance – Year 2	10,000
Ground Maintenance – Year 3	10,000
Insurance Costs – Year 1	300
Insurance Costs – Year 2	300
Insurance Costs – Year 3	300
Pavilion Maintenance – Year 1	£1,700
Pavilion Maintenance – Year 2	£1,700
Pavilion Maintenance – Year 3	£1,700
Total Expenditure (a)	£36,000
Income (include status - Secured, Awaiting Decision or still to be raised)	
Penclawdd RFC Seniors & Juniors	500
Penclawdd AFC Seniors & Juniors	500
Total Income (b)	£1,000
Total Amount to be met by CATF grant (a-b)	*£35,000

RECOMMENDATION	Support – to the amount of £23,800 as per the comments of Departmental Officers
All applications will be assessed against the same criteria and applicants will be required where appropriate, to demonstrate:	<u>Comments</u>
<ul style="list-style-type: none"> • That the funding will support the provision of a local service to the residents of Swansea 	The facility is currently used by the teams of Penclawdd RFC and Penclawdd AFC
<ul style="list-style-type: none"> • The need and community support for the project proposal is demonstrated 	Penclawdd AFC have 2 senior sides (40 players) and a number of junior sides (approx. 180 children). Penclawdd RFC has 2 senior sides (140 registered players) and junior teams comprising of approx. 120 players.
<ul style="list-style-type: none"> • Comments of Supporting Department 	<p>Ian Beynon - Culture and Recreation Supportive of this application as the CC wish to take on a full lease of the site which will result in a saving to the Authority. I would suggest a sliding scale of support over the 3 years so that the applicant is preparing to take on full responsibility by year 4. Therefore suggest the following;</p> <p>Grounds maintenance: Y1 £10k; Y2 £7.5k; Y3 £5k – total £22.5k Insurance: Y1 £300; Y2 £200; Y3 £100 – total £600 Pavilion maintenance: Y1 £1,700; Y2 £1,200; Y3 £800 – total £3,700</p> <p>Expenditure based on 3 years, Income only based on 1? Would suggest income should be £3,000</p> <p>Original request £35k, suggest support of £23,800</p>
<ul style="list-style-type: none"> • Value for money 	At present, the site is maintained by the City and County of Swansea who prepare and mark the pitches, maintain the equipment within the pavilion and cut the grass areas surrounding the

	<p>site.</p> <p>The Community Council anticipate that savings will be made on the following: -</p> <ul style="list-style-type: none"> • Maintenance, cutting and marking of the rugby pitch; • Maintenance, cutting and marking of the football pitch; • Maintenance of the pavilion, i.e. boiler, showers etc.; • Insurance of the site, including the pavilion; • Grass cutting and maintenance of the land surrounding the sports pitches; • Maintenance of public footpaths within the site. <p>The proposals would save all of the above. The department anticipate that they spend approximately £10,000 per annum maintaining the pitches and grass verges. Plus additional funding of approximately £2,000 per annum for the insurance and maintenance of the pavilion. Therefore, long term there would be a direct saving to the City and County of Swansea.</p>
<ul style="list-style-type: none"> • Sustainability of Proposal in the longer term. 	<p>Initially obtaining funding via the Community Transfer fund would enable the Community Council to take over the lease of the facility. In future years the Council precept will have to rise in order to keep pace with the ongoing maintenance costs. Furthermore, the clubs that regularly use the facilities will have to pay an annual fee. In respect of the other facilities of the Council, this is currently £300 per annum for senior clubs and £200 per annum for junior clubs.</p> <p>The ongoing strategy will be to continue in this manner for the foreseeable future.</p>
<ul style="list-style-type: none"> • Acceptable Monitoring and Evaluating proposals 	<p>Yes - The Community Council intends purchasing software in order to monitor pitch bookings. This will provide the Council with definite details regarding usage.</p> <p>The success of the project will be a continuation of the current usage and attracting many more from within the community to use the facility.</p>

Appendix B3

Community Action Transformation Fund: Assessment Ticksheet

Organisation: Parc Y Werin Bowling Association

Grant Total: £21, 490

Ref No: CATF 5 – 03

Contact Name: John Phillips

Potential Saving to City and County of Swansea: £16,000

Summary:

This application is being made by Parc Y Werin Bowling Association, the association is made up of five member clubs who have joined together to form the association, the clubs are; Garden Village Bowls Club, Gorseinon Bowls Club, New Lodge Bowls Club, New Lodge Ladies Bowls Club and St Catherine's Bowls Club who play on the two bowling greens at Parc Y Werin Gorseinon.

On the 1st April 2016 due to budget cuts the bowling green running and maintenance was taken over by the association and its members, this was as a result of budget cuts to the local authority which meant that they were no longer able to provide the service.

This has allowed the association to keep both greens open and available to club members and also to the general public through casual bowling.

During the past year officers of the association have met regularly with officers of the C&C of S to agree a lease for the greens at Parc Y Werin, Gorseinon and this will be in place for the start of the 2017 season.

The experience that gained so far this year whilst maintaining the two greens has given the association an opportunity to assess what is required to improve the greens and has been invaluable in helping the association submit this application by gaining the knowledge of what equipment and materials are required to maintain the upkeep of the greens.

If successful, the association would use the grant funding gained to continue to improve the playing surfaces of the two greens for continued use by club members and members of the public and would hope to attract both county and national championship fixtures to Gorseinon.

Eligibility

<ul style="list-style-type: none"> Established Charitable or voluntary organisations or those with charitable objectives, is properly constituted and has requisite Equality, Green, Vulnerable Adults and Childrens Policies 	<p style="text-align: center;">✓</p> <p style="text-align: center;">New Association formed from existing clubs with requisite policies</p>
<ul style="list-style-type: none"> New organisations which require 'seed funding' to start a service for which there is demonstrable need and is working towards Constitution and requisite policies 	<p style="text-align: center;">✓</p> <p style="text-align: center;">member groups have Policies – to be developed for association</p>
<ul style="list-style-type: none"> A Community Council 	N/A
<ul style="list-style-type: none"> Proposal will provide an existing service in an innovative way or a new innovative service for which there is a demonstrable need 	✓
<ul style="list-style-type: none"> Has the applicant been working with and supported by the City and County of Swansea Department with the responsibility for the asset under question. 	✓
<ul style="list-style-type: none"> Does the proposal save the City & County of Swansea money? Can this saving be easily identified in council budgets and easily tracked by the External Funding Panel 	✓
ELIGIBLE	Yes

Assessment

Finances

Expenditure	£
Year one maintenance for two greens	£14500.00
Legal Fees	£ 400.00
Annual Servicing of equipment	£ 350.00
Hayter Harrier small mower	£ 450.00
Echo ECGT220ES Strimmer	£ 229.99
Makita leaf Blower	£ 229.99
Big Dewie Switch Stick	£ 78.00
6ft Drag Brush	£ 145.00
45kg Broadcast Spreader	£ 210.00
36 inch Lawn Lute	£ 99.00
39 inch Roller squeegee	£ 198.00
Sportsmark Green Roller	£ 1100.00
Loose fill rubber ditch lining	£ 800.00
Artificial Grass Ditch Facing	£ 2400.00
Adhesive	£ 300.00

Total Expenditure (a)	£21,490
Income (include status - Secured, Awaiting Decision or still to be raised)	
Grant from Gorseinon Town Council (received)	£ 750.00
Income from member 2016 season (received)	£3400.00
Income from casual bowlers	£ 100.00
Total Income (b)	£4250.00
Total Amount to be met by CATF grant (a-b)	*£17239.98

RECOMMENDATION	Support – to the amount of £11,240. As per comments of Department Officers
All applications will be assessed against the same criteria and applicants will be required where appropriate, to demonstrate:	<u>Comments</u>
<ul style="list-style-type: none"> That the funding will support the provision of a local service to the residents of Swansea 	Yes – There are five member clubs using the facilities
<ul style="list-style-type: none"> The need and community support for the project proposal is demonstrated 	<p>The current membership of the association is approximately eighty people of both sexes and all ages from 12 to 82 who use the green regularly, in addition to this the greens are available to members of the public for casual bowling sessions, to help foster the game of bowls we are putting in place a series of free taster afternoons to give local residents an opportunity to try the game of bowls in the hope that they will take up the sport, to support us in this we have trained coaches and child protection officers available at each session to offer friendly advice to adults and children who wish to try the game.</p> <p>A new school is proposed for land adjacent to the bowling greens and we plan to link up with the school and speak to the head-teacher with the aim of involving school pupils in free coaching sessions that are available. We have also recently forged a link with the Friends of Parc Y Werin who are assisting us with publicity for our events.</p>
<ul style="list-style-type: none"> Comments of Supporting 	<u>Ian Beynon – Culture and Recreation</u> Supportive of this application as it demonstrates

<p>Department</p>	<p>that the clubs are willing to work together and prepared to take on maintenance, with the additional benefit of undertaking the necessary improvement works to secure the long-term use of the green. The application does identify savings to the Council but the costs of greens maintenance are based on a previous specification. Current specification will reduce this cost by £6,000.</p> <p>Therefore suggest reducing grant by £6,000 to £11,239.98</p>
<ul style="list-style-type: none"> • Value for money 	<p>The two greens at Parc Y Werin were maintained by the C&C of S Parks Dept. until the 1st April this year since that date members of the association with guidance from the parks department, have taken over the day to day maintenance of the greens. All of the routine maintenance tasks set out in the authorities hand over document such as grass cutting and watering is carried out by members of the association, to facilitate this member of the association attended a course held by the Institute of Groundsman-ship the people concerned received training in all aspects of green-keeping and the safe use of equipment and machinery. Each member received a certificate of competency in the use of the machinery.</p> <p>Since the association has taken over the upkeep of the greens this has provided an initial saving in the first year of £14500 to the authority, in addition to this members of the association have carried out repairs to the timber banks of green number 2 with materials supplied by the authority, this has resulted in an initial saving of hundreds of pounds in labour cost.</p>
<ul style="list-style-type: none"> • Sustainability of Proposal in the longer term. 	<p>The future financial stability of the association will be sustained through membership fees, green fees collected from casual users, donations from the Town Council and sponsorship, without the support of this C&C of S members face a substantial increase in annual membership fees and this could be detrimental to the association's aims of making bowls accessible to all. To offset this, the association is seeking partners who will work with us to allow this activity to continue in Gorseinon.</p>
<ul style="list-style-type: none"> • Acceptable Monitoring and 	<p>The WBA and WLBA recognise that popularity of bowls is currently in decline with a number of local</p>

Evaluating proposals	clubs going out of existence during the past few years, the success of the scheme will be judged not only by arresting this decline but by increasing participation in bowling at Parc Y Werin and also increasing club memberships. A review of progress will be taken at the end of the 2017 season.
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Appendix B4

Community Action Transformation Fund: Assessment Ticksheet

Organisation: Morryston Tabernacle Congregation Building Trust

Grant Total: £6,276

Ref No: CATF 5 – 04

Contact Name: Huw Tregellis Williams

Potential Saving to City and County of Swansea: £2 to 3,000 per annum.

Summary:





The City and County of Swansea and its predecessors in title have been maintaining a number of clocks on Council and privately owned properties since the turn of the 20th Century. Historically, there has always been an assumption that the Local Authority had an obligation under the Public Health Act to provide public clocks throughout the City. However, upon review and consultation with our legal department it has become apparent that the legislation is discretionary and was originally designed to provide local authorities with powers in order to erect new time pieces on both Council and privately owned buildings, where they deemed them necessary.

The public clocks maintenance contract has been awarded and run by Astra Clock Repairs for the past 30 years. However, as part of review and saving targets driven by Sustainable Swansea, the contract has now been reviewed in order to provide financial saving opportunities for the Council. The Council will now look to pass back the responsibility to the individual owners in order for them to maintain and keep the clocks wound.

However, it has been suggested that assistance could potentially be made available to the various Churches for the 1st year of the handover to allow them sufficient time to raise further financial support going forward. Unfortunately, most of the time pieces located on the various Church buildings are driven manually and are therefore more expensive to maintain and keep wound. The cost for an annual winding contract is in the region of £2,000 - £3,000 per year.

The Grant will allow Tabernacle Church to service the clock and remove invasive plant growth that is preventing the electrification of the clock from working effectively.

Eligibility

<ul style="list-style-type: none"> Established Charitable or voluntary organisations or those with charitable objectives, is properly constituted and has requisite Equality, Green, Vulnerable Adults and Childrens Policies 	 Church Trust
<ul style="list-style-type: none"> New organisations which require 'seed funding' to start a service for which there is demonstrable need and is working towards Constitution and requisite policies 	N/A
<ul style="list-style-type: none"> A Community Council 	N/A
<ul style="list-style-type: none"> Proposal will provide an existing service in an innovative way or a new innovative service for which there is a demonstrable need 	
<ul style="list-style-type: none"> Has the applicant been working with and supported by the City and County of Swansea Department with the responsibility for the asset under question . 	
<ul style="list-style-type: none"> Does the proposal save the City & County of Swansea money? Can this saving be easily identified in council budgets and easily tracked by the External Funding Panel 	
ELIGIBLE	Yes

Assessment

Finance

Expenditure	£
Electrification and Restoration of Clock – Smith of Derby	3,962
Electrical Works to achieve above	507
Annual Service (£194 x 3)	582
Remove Buddleia From workings – Quote from Heritage Stone Access Ltd	1,225
Total Expenditure (a)	£6,276
Income (include status - Secured, Awaiting Decision or still to be raised)	
Total Income (b)	£
Total Amount to be met by CATF grant (a-b)	*£6,276

RECOMMENDATION	Support - £6,276
All applications will be assessed against the same criteria and applicants will be required where appropriate, to demonstrate:	<u>Comments</u>
<ul style="list-style-type: none"> That the funding will support the 	Yes – it is a service the Council has supplied since the turn of the 20 th century

provision of a local service to the residents of Swansea	
<ul style="list-style-type: none"> The need and community support for the project proposal is demonstrated 	The Clocks are a community focus
<ul style="list-style-type: none"> Comments of Supporting Department 	<p>Alex O'Brien – Estates.</p> <ul style="list-style-type: none"> Principle is sound - a grant will facilitate the release of a long term service provision commitment.
<ul style="list-style-type: none"> Value for money 	<p>The service transfer is estimated to save the City and County £2-3000 per annum per clock.</p> <p>The review of Public Clocks has enabled the Council to reduce the overall value of the contract from £20,100 p.a. to £6,000 p.a. although there is further scope to reduce the value of the contract. By passing back the responsibility to the individual owners and Churches it generates savings in perpetuity for the Council from both a management and financial perspective.</p>
<ul style="list-style-type: none"> Sustainability of Proposal in the longer term. 	The grant allows for electrification of the clock and initial cleaning and maintenance and 3 years annual service.
<ul style="list-style-type: none"> Acceptable Monitoring and Evaluating proposals 	The continuation of a service to the local community without intervention from the City and County of Swansea.

Appendix B5

Community Action Transformation Fund: Assessment Ticksheet

Organisation: Sacred Heart Mother and Toddler Group

Grant Total: £2,000

Ref No: CATF 5 – 05

Contact Name: Natasha Thomas

Potential Saving to City and County of Swansea: Not identified in application.

Summary:

The application is for equipment and a contribution towards rent for a new mother and toddler group formed in the Sacred Heart Centre in Morriston.

Eligibility

<ul style="list-style-type: none">Established Charitable or voluntary organisations or those with charitable objectives, is properly constituted and has requisite Equality, Green, Vulnerable Adults and Childrens Policies	<p style="text-align: center;">✓ New group formed from identified community need</p>
<ul style="list-style-type: none">New organisations which require 'seed funding' to start a service for which there is demonstrable need and is working towards Constitution and requisite policies	<p style="text-align: center;">✓ A new group</p>
<ul style="list-style-type: none">A Community Council	<p style="text-align: center;">N/A</p>
<ul style="list-style-type: none">Proposal will provide an existing service in an innovative way or a new innovative service for which there is a demonstrable need	<p style="text-align: center;">✗ - Not apparent</p>
<ul style="list-style-type: none">Has the applicant been working with and supported by the City and County of Swansea Department with the responsibility for the asset under question .	<p style="text-align: center;">✗ - None identified</p>

<ul style="list-style-type: none"> Does the proposal save the City & County of Swansea money?. Can this saving be easily identified in council budgets and easily tracked by the External Funding Panel 	<ul style="list-style-type: none"> ✘ Ineligible for CATF based on the fact there is no financial saving for the authority. There is no transfer of asset or service involved in the application and there is no supporting department.
ELIGIBLE	No

Assessment Not Applicable

Finances

Expenditure	£
Total Expenditure (a)	£
Income (include status - Secured, Awaiting Decision or still to be raised)	
Total Income (b)	£
Total Amount to be met by CATF grant (a-b)	*£

RECOMMENDATION	Ineligible - Refuse
All applications will be assessed against the same criteria and applicants will be required where appropriate, to demonstrate:	<u>Comments</u>
<ul style="list-style-type: none"> That the funding will support the provision of a local service to the residents of Swansea 	
<ul style="list-style-type: none"> The need and community support for the project proposal is demonstrated 	
<ul style="list-style-type: none"> Comments of Supporting Department 	
<ul style="list-style-type: none"> Value for money 	
<ul style="list-style-type: none"> Sustainability of Proposal in the longer term. 	
<ul style="list-style-type: none"> Acceptable Monitoring and Evaluating proposals 	

Agenda Item 7

Report of the Director of Place

External Funding Panel – 5 October 2016

COASTAL RISK MANAGEMENT PROGRAMME: SWANSEA CENTRAL AREA STRATEGIC FLOOD RISK MITIGATION PROJECT APPRAISAL REPORT

Purpose:	To provide an overview and seek approval on Welsh Government Grant to support a Project Appraisal Report for the Swansea Central Area Strategic Flood Risk Mitigation Project
Policy Framework:	Swansea Bay City Region Economic Regeneration Strategy. Swansea Central Area Regeneration Framework (2016) Swansea Local Development Plan Deposit Draft
Reason for Decision:	To inform External Funding Panel of the Welsh Government grant available to proceed with a Project Appraisal Report for the Swansea Central Area Strategic Flood Risk Mitigation Project
Consultation:	Legal, Finance and Access to Services.
Recommendation(s):	It is recommended that the External Funding Panel endorses the application to Welsh Government for a 100% grant to proceed with a Project Appraisal Report for the Swansea Central Area Strategic Flood Risk Mitigation Project
Report Author:	Paul Relf
Finance Officer:	Paul Roach
Legal Officer:	Debbie Smith
Access to Services Officer:	Phil Couch

1.0 Introduction

- 1.1 Welsh Government have launched a Coastal Risk Management funding programme which provides a one off opportunity for local authorities to implement transformational projects for assisting local authorities to implement coastal flooding management projects with Welsh Government contributing 75% of the project costs. As part of this programme Local Authorities are expected to identify potential projects, prepare a business case and secure 25% match funding.
- 1.2 To support Local Authorities in developing the necessary business case Welsh Government are providing 100% grant funding for the preparation of Project Appraisal Reports, which would include tidal monitoring work, feasibility studies and consideration of wider economic and regeneration opportunities and identify a preferred way forward to manage flooding and make a case for further investment.
- 1.3 Welsh Government Technical Advice Note 15: Development and Flood Risk (TAN15) requires that consideration must be given to the impacts climate change on developments over the lifetime of development. A recent study has confirmed that ingress of tidal floodwater can occur via an underpass from Oystermouth Road, and complex overland flooding arises from the River Tawe, through the City centre. The flooding affects a significant number of existing commercial and residential areas and priority development sites including the St. Davids/ Quadrant site. Such flooding could preclude the development of key sites, and also cause very considerable economic and social damage in the City centre.
- 1.4 Significantly, not all of the City centre is at immediate risk of flooding from climate change. NRW suggest a 'managed adaptive approach' is required which makes a commitment to a Strategic Flood risk scheme to protect large areas of the City centre to align with predicated climate change impacts in the next 50 and 75 year periods.
- 1.5 The delivery of flood defence scheme will be a key infrastructure requirement for the future development, sustainability and viability of the City centre. Delivery options and funding sources will need to be considered further, potentially in a phased approach and will need to include appropriate developer contributions and grant funding.
- 1.6 A bid to Welsh Government has been prepared in draft which identifies a sum of £100,000 for the City and County of Swansea to complete the preparation of an initial Project Appraisal Report, 100% Welsh Government funded.

2.0 Recommendation

- 2.1 That the External Funding Panel endorses the application to Welsh Government for a 100% grant to proceed with a Project Appraisal Report for the Swansea Central Area Strategic Flood Risk Mitigation Project

3.0 Equality and Engagement Implications

- 3.1 Full EIA Screening Report not required
- 3.2 Little direct impact on public at this stage. The project itself will be screened separately

4.0 Financial Implications

- 4.1 Welsh Government has provided Coastal Authorities 100% grant to proceed with the production of project appraisal studies as part of the Coastal Risk Management programme.

5.0 Legal Implications

- 5.1 The constitution requires that External Funding Panel endorses grant funding. The Council will need to ensure that it complies with the terms and conditions of the grant funding. Any procurement activity undertaken to deliver the project appraisal study must comply with the Council's Contract Procedure Rules.

Background Papers: None

Appendices: EFP1

The City & County of Swansea

EFP1 PRE-SUBMISSION - Notification of Funding Bid to External Funding Panel

Ref:

THE EFP1 APPLIES TO HARD COPY AND ELECTRONIC SUBMISSIONS

1. Scheme background and details - this is to inform the External Funding Panel of the intention to apply for a new grant proposal –

a. Awarding Body	Welsh Government Innovative Finance Coastal Funding Programme
b. Name and purpose of Scheme/Programme (attach proposal brief in cabinet style report)	Swansea Central Area Strategic Flood Risk Mitigation: Project Appraisal Report
c. Grant value in Total £	£100,000
d. Grant period / timescale for delivery	2016-2017, with potential phasing into 2017-18
e. CCS acting as Lead Body or Joint Sponsor? Name other Partners.	Lead Body
f. Detail links to existing schemes at Local, Regional or National level	Planning Policy Wales: TAN 15 Development and Flood Risk Shoreline Management Plan
g. Detail direct links to Council Policy, including, where appropriate, target areas	Swansea Central Area Regeneration Framework (2016) Swansea Local Development Plan Deposit Draft
h. List key target and proposed performance measures	The key target is to develop a fully justified approach and business case towards strategic flood risk mitigation for Swansea's Central Area.
i. For EUROPEAN schemes confirm that the scheme has been developed in conjunction with the European Unit – Yes /No (<i>delete</i>)	N/A
j. Does this grant require a continuation of funding by the Council after the grant period has expired? <i>This includes current or additional staff costs.</i> Yes / No	The PAR grant which is the subject of this current bid, does not require continuation funding. But if the PAR report demonstrates a viable project, subject to further WG support, WG would provide 75% grant and 25% from the Local Authority for a potential scheme.

k. Does the application require match-funding? Yes/No if it does where is this coming from?	Project Appraisal is 100% WG funded
l. Will the project entail the employment of additional staff and on what basis?	no
M. Have you completed an EIA (Equality Impact Assessment) Screening Form (please attach)?	yes
N. Is a full EIA report required?	No

2. Please complete the following financial information:

	Current financial year £	Year 1 (16/17) £	Year 2 (17/18) £	Year 3 (18/19) £	Total £	Ongoing £
Total project cost:		100,000				
Capital						
Revenue		100,000				
Grant applied for:						
Capital						
Revenue		100,000				
Match Funding Internal		0				
Match Funding External		0				

3. Please complete the following:

a. Does the funding meet the Council's priorities?	The funding will support the delivery of priority development sites in the City centre
b. What are the expected outcomes and are they clear and achievable? (link to 1h)	The Project Appraisal Report will produce options and an economic, commercial and financial justification for the project, upon which a decision on further funding to move to detailed design and development will be dependent.
c. Is there an exit plan? (link to 1j/l)	no
d. How is Value for Money being obtained? (procurement/ third party arrangements etc.)	Advertisement on the National Procurement website – Sell2Wales
e. What is the governance / management structure for the scheme? – What board/management	City Regeneration Programme Board

team will it be reported to?	
f. What are the major risks and how will they be managed?	Delivery of the project by the end of the financial year. Normal project management methodology, agree phases and completion dates with the appointed contractor.

4. Authorisation

	Name / Signature	Date
Responsible Officer: (Place)		
Responsible Officer: (People)		
(Group)/Accountant:		
External Funding Panel Endorsed /Not Endorsed		